

## Other occupation

Securities regulations do not formally prevent an advisor working for a mutual fund or scholarship plan dealer from pursuing professional activities, in another job or occupation, that are not related to their activities as an advisor, remunerated or not, on a full-time or part-time basis, provided they follow these principles:

- Keeping the client's interests as their core concern.
- Maintaining their professional independence at all times.
- Avoiding conflicts of interest.
- Appropriately protecting the personal information they hold on their client and using it only for the purposes agreed upon with the client.

These obligations make the practice of certain activities and professions incompatible with the practice of the advisor's activities. In terms of dual employment, the obligations require caution due to the real or potential risk of a conflict of interests.

In short, an advisor may have one or more other jobs or occupations, pursue other professional activities as long as there is no legislation or regulation prohibiting it, and as long as they obtain authorization from the firm for which you are practising.

The firm must also comply with certain rules to allow the advisor's dual employment.

## Before committing

Before taking on this other occupation, and throughout your engagement, you must:

- Ensure that it does not put you in a conflict of interest situation and does not call your objectivity into question.
- Verify with the firm for which you are practising whether it is possible to have this occupation and obtain the required authorization.
- Remember that each case is unique.

## When authorized

When you are authorized, you must:

- Adhere to the ethical standards applicable to your field of practice.
- Ensure you put your client's personal interests before your own.
- Respect the confidentiality of all client information you obtain in the course of your duties.
- Within 15 days, [give your firm the information required](#) to update your record in the [National Registration Database \(NRD\)](#).

To see the CSF's definition of a **conflict of interest**, visit [InfoDéonto](#).